



#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Whitehead et al.)
Serial No.: 09/431,737) Group Art Unit: 2772
Filed: 11/01/99) Before the Examiner: (not yet assigned)
For: MAN MACHINE INTERFACE)
FOR POWER MANAGEMENT)
CONTROL SYSTEMS)

**PETITION UNDER 37 CFR 1.47(a) IN LIEU OF
INVENTORS' SIGNATURE**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The present application includes 11 inventors, of whom only one is currently still employed by Assignee General Electric. Applicants' attorneys mailed to their last known addresses all of the remaining 10 inventors a copy of the specification along with unsigned Assignment and Declaration forms for them to sign and return, and none of them responded. Five of the inventors are currently residing in India and there is no reasonable way to track them down. Two mailings were returned by the Post Office as "return to sender" with no forwarding address. Of the 10 who have left General Electric, only one could be located by phone, and him only as early as May 31, which is insufficient time to obtain the copy of a signed declaration before the six month statutory period runs out on the Notice of Missing Parts. Please refer to the attached table for last known addresses of listed inventors.

As evidence of the diligent efforts made to reach each inventor, attached to this petition are copies of letters sent to each inventor regarding this application and a copy of the declaration sent with

each letter. In addition, are copies of the envelopes of each of the two returned letters.


Additionally, attached hereto is a copy of a declaration executed by Don Whitehead, the sole available inventor, with the signature blocks left blank to indicate that Mr. Whitehead has signed on behalf of the unavailable inventors in accordance with MPEP 409.03(a).

This petition is also accompanied by a Fee Transmittal indicating the inclusion of a \$130 fee in accordance with 37 CFR 1.147 and 37 CFR 1.17(i). However, in the event the Commissioner of Patents deems that any additional fee is required in connection with this application, Applicants' attorneys authorize that such fee be charged to Deposit Account No. 06-1130. Please direct any inquiries to the undersigned.

Respectfully submitted,

WHITEHEAD et al.

CANTOR COLBURN LLP
Applicant's Attorneys

By: 
Leonard Heyman
Registration No. 40,418

Date: June 2, 2000
Address: 55 Griffin Road South
Bloomfield, Connecticut 06002
Telephone: 860-286-2929
Fax: 860-286-0115



41PR-7349 41PR-7349
96-1165B 96-1165A
09/431,348 09/431,737

Last known addresses of listed inventors:

Inventor Name	Status	Last Known Address
Don Whitehead	Signed Both	
James Burke	Current address unknown; did not return declaration	514 West Center St. Ext Southington, CT 06489
Harshad Tanna	Address unknown until 5/31/00	<u>New Information:</u> (h) 973-989-2451 (w) 973-829-5201 44 Center Grove Rd Randolph , NJ
Subhash Garg	Current address unknown; Request returned w/no forwarding address	536 Emmett Street, Apt. B3 Bristol, CT 06010
Veronica Kertesz	Current address unknown; No known number/address – did not return declaration	1433 Pearl Street Boulder, CO 80302
A. Jajeshwar Rao	Current address unknown; did not return declaration	10-39 Ramanthapur Colony Hderabad - INDIA 500013
SS Lakshmi	Current address unknown; did not return declaration	CS2, Widepark Apartments Snehapuri Colony Nacharam Hyderabad - INDIA 500076
K. Venkat-Rao	Current address unknown; did not return declaration	c/o K. Jagannadham, Kunduru vari palem (p.o) Muppalla (M.d) Guntur (dt) - INDIA 522408
N.S. Sai Krishna	Current address unknown; did not return declaration	415 Sanali Heavens Besides Kamma Sangham Ameerpet Hyderabad, INDIA 500016
M.A. Rahim Shareef	Current address unknown; did not return declaration	9-4-87/1/45, MD Lines Hyderabad, INDIA 500008
M. Kailashnath	Current address unknown; request returned w/no forwarding address	555 E. El Camino Real, Apt. 705 Sunnyvale, CA 94087

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Intellectual Property Attorneys



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Windsor, Connecticut 06095
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Priya M. Munnann

of counsel:
David S. Fishman
Richard A. Menelly

prosecuting agents:
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George J. Lyman
Steven B. Leavitt
J. Michael Buchanan

January 6, 2000

M. Kailashnath
555 E. El Camino Real, Apt. #705
Sunnyvale, CA 94087

Re: Continuation Application of U.S. Serial No. 08/628,533
For: MAN MACHINE INTERFACE FOR POWER
MANAGEMENT CONTROL SYSTEM
Our Reference: 96-1165A
Continuation Serial #09/431,737
You're Reference: 41PR-7349; and

Continuation Application of U.S. Serial No. 08/628,533
For: POWER MANAGEMENT CONTROL SYSTEM
Our Reference: 96-1165B
Continuation Serial #09/431,348
You're Reference: 41PR-7349

Dear Mr. Kailashnath:

The above identified applications were filed in the United States Patent & Trademark Office on October 29, 1999 (09/431,348) and November 1, 1999, (09/431,737) without the formal papers and the payment of the filing fee.

We have prepared and enclose herewith for execution, the Declarations/Power of Attorney forms and Assignments of the above-referenced inventions to General Electric Company. These papers are attached to copies of the applications as filed.

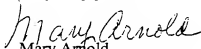
Cantor Colburn LLP

Intellectual Property Attorneys

Please sign both Declarations and both Assignments in the places provided for your signature and marked "sign here" and return all documents to me immediately for filing with the U.S. Patent and Trademark office.

If you have any questions, please let me know.

Very truly yours,

A handwritten signature in cursive script, appearing to read "Mary Arnold".

Mary Arnold
Secretary to Phil Colburn
Enclosures

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Intellectual Property Attorneys

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Windsor, Connecticut 06095
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patent agents:
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J. Michael Buchanan

January 6, 2000

M.A. Rahim Shareef
9-4-87/1/45, MD Lines
Hyderabad, INDIA 500008

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Dear Mr. Shareef:

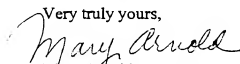
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January 6, 2000

N.S. Sai Krishna
415 Sanali Heavens
Besides Kamma Sangham Ameerpet
Hyderabad, INDIA 500016

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Our Reference: 96-1165B
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Dear Mr. Krishna:

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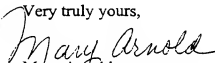
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Very truly yours,

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Mary Arnold
Secretary to Phil Colburn
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January 6, 2000

K. Venkat-Rao
c/o K. Jagannadham, Kunduru vari palem (p.o)
Muppalla (M.d)
Guntur (dt) - INDIA 522408

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Our Reference: 96-1165A
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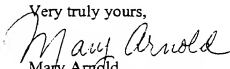
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January 6, 2000

SS Lakshmi
CS2, Widedpark Apartments
Snehapuri Colony Nacharam
Hyderabad - INDIA 500076

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Dear Mr. Lakshmi:

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January 6, 2000

A. Rajeshwar Rao
10-39 Ramanthapur Colony
Hyderabad - INDIA 500013

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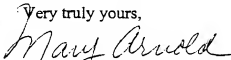
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January 6, 2000

Ms. Veronica Kertesz
General Electric Company
Sr. Program Manager
Corporate
1433 Pearl Street
Boulder, CO 80302

Re: Continuation Application of U.S. Serial No. 08/628,533
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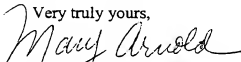
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Subhash Garg
536 Emmett Street, Apt. B3
Bristol, CT 06010



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Dear Mr. Garg:

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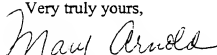
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Harshad Tanna
126 New Britain Avenue, Apt. D2
Plainville, CT 06062

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Continuation Serial #09/431,737
You're Reference: 41PR-7349; and

Continuation Application of U.S. Serial No. 08/628,533
For: POWER MANAGEMENT CONTROL SYSTEM
Our Reference: 96-1165B
Continuation Serial #09/431,348
You're Reference: 41PR-7349

Dear Mr. Tanna:

The above identified applications were filed in the United States Patent & Trademark Office on October 29, 1999 (09/431,348) and November 1, 1999, (09/431,737) without the formal papers and the payment of the filing fee.

We have prepared and enclose herewith for execution, the Declarations/Power of Attorney forms and Assignments of the above-referenced inventions to General Electric Company. These papers are attached to copies of the applications as filed.

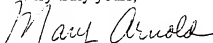
Cantor Colburn LLP

Intellectual Property Attorneys

Please sign both Declarations and both Assignments in the places provided for your signature and marked "sign here" and return all documents to me immediately for filing with the U.S. Patent and Trademark office.

If you have any questions, please let me know.

Very truly yours,

A handwritten signature in cursive script that reads "Mary Arnold".

Mary Arnold
Secretary to Phil Colburn
Enclosures

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Herbert M. Bedingfield
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Steven B. Leavitt
J. Michael Buchanan

January 6, 2000

James Burke
514 West Center St Ext
Southington, CT 06489

Re: Continuation Application of U.S. Serial No. 08/628,533
For: MAN MACHINE INTERFACE FOR POWER
MANAGEMENT CONTROL SYSTEM
Our Reference: 96-1165A
Continuation Serial #09/431,737
You're Reference: 41PR-7349; and

Continuation Application of U.S. Serial No. 08/628,533
For: POWER MANAGEMENT CONTROL SYSTEM
Our Reference: 96-1165B
Continuation Serial #09/431,348
You're Reference: 41PR-7349

Dear Mr. Burke:

The above identified applications were filed in the United States Patent & Trademark Office on October 29, 1999 (09/431,348) and November 1, 1999, (09/431,737) without the formal papers and the payment of the filing fee.

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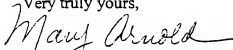
Cantor Colburn LLP

Intellectual Property Attorneys

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If you have any questions, please let me know.

Very truly yours,

A handwritten signature in cursive script that reads "Mary Arnold". The signature is written in dark ink and is positioned above the printed name.

Mary Arnold
Secretary to Phil Colburn
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January 6, 2000

Mr. Don Whitehead
127 Ross Road
P.O. Box 235
Rockfall, CT 06481-0235

Re: Continuation Application of U.S. Serial No. 08/628,533
For: MAN MACHINE INTERFACE FOR POWER
MANAGEMENT CONTROL SYSTEM
Our Reference: 96-1165A
Continuation Serial #09/431,737
You're Reference: 4IPR-7349; and

Continuation Application of U.S. Serial No. 08/628,533
For: POWER MANAGEMENT CONTROL SYSTEM
Our Reference: 96-1165B
Continuation Serial #09/431,348
You're Reference: 4IPR-7349

Dear Mr. Whitehead:

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Cantor Colburn LLP

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Mary Arnold
Secretary to Phil Colburn
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